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# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

862.C1986

First Named Inventor or Application Identifier

YUJI KONNO ET AL.

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## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification Total Pages

3. ☒ Drawing(s) (35 USC 113) Total Sheets

4. ☒ Patent Application Bibliographic  
Data Sheet Total Sheets

5. ☐ Oath or Declaration Total Pages

a. ☐ Newly executed (original or copy)

b. ☐ Unexecuted for information purposes

c. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

[Note Box 6 below]

i. ☐ DELETION OF INVENTOR(S)

Signed Statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)

6. ☐ Incorporation By Reference (useable if Box 5c is checked)  
The entire disclosure of the prior application, from which a copy of the  
oath or declaration is supplied under Box 5c, is considered as being  
part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein. The incorporation can only be  
relied upon when a portion has been inadvertently omitted from the  
submitted application parts

7. ☐ Microfiche Computer Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired

16. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

17. ☐ Other: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_/\_\_\_\_\_  
Prior application information. Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

## 19 CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

05514

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	15-20 =	0	X \$ 18.00 =	\$0
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3-3 =	0	X \$ 78.00 =	\$0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$260.00 =	\$0
				BASIC FEE (37 CFR 1.16(a))	\$690.00
			Total of above Calculations =		\$690.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				0
	TOTAL =				\$690.00

20. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

21. ☒ A check in the amount of \$ 690.00 to cover the filing fee is enclosed.

22. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

23. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

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State or Province:: Kanagawa-ken  
Country:: Japan  
Country of Residence:: Japan  
Citizenship Country:: Japan

#### CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 05514  
Fax:: (212) 218-2200

#### APPLICATION INFORMATION

Title Line One:: IMAGE PROCESSING APPARATUS AND METHOD, AND  
Title Line Two:: STORAGE MEDIUM

Total Drawing Sheets:: 13  
Formal Drawings?: Yes  
Application Type:: Utility  
Docket Number:: 869.C1986  
Secrecy Order in Parent Appl.?: No

#### REPRESENTATIVE INFORMATION

Representative Customer Number:: 5514

#### PRIOR FOREIGN APPLICATIONS

Foreign Application One:: 11-241718  
Filing Date:: 08/27/99  
Country:: Japan  
Priority Claimed:: Yes

005230" 8FE54960

TITLE OF THE INVENTION  
IMAGE PROCESSING APPARATUS AND METHOD, AND STORAGE  
MEDIUM

5 FIELD OF THE INVENTION

The present invention relates to an image processing apparatus and method, and a storage medium and, more particularly, to an image processing apparatus and method for quantizing image data.

10

BACKGROUND OF THE INVENTION

When a printer such as an ink-jet printer, the number of tones that can output is limited, is used, the number of tones of image data is reduced to that the printer can express by a quantization process by means of a printer driver on a host computer, and that image data is then transferred from the host computer to the printer.

The size of image data to be transferred increases and the time required for transferring image data from the host computer to the printer increases with increasing resolution of printer, resulting in a low print throughput. In such case, the following method may be used. That is, the printer driver sends only tone information of a density pattern using a density pattern method, and the printer converts the



Even such highly redundant information, which expresses five tones using 4 bits, can be used while the data transfer rate or the memory size of the printer has a large margin. However, as the printer  
5 requires higher resolution and higher speed, the data transfer rate and the data size that the printer can hold pose a problem. That is, when highly redundant information that expresses five tones using 4 bits is transferred to the printer, this results in very poor  
10 efficiency.

In order to combat this problem without changing the unit density pattern, when the number of tones is reduced from five values to four values, the quantization code can be expressed by 2 bits. However,  
15 a reduction of the number of tones leads to loss of tone information, production of false contours, an increase in granularity, and the like, thus deteriorating the image quality of an output image.

## 20 SUMMARY OF THE INVENTION

The present invention has been made to solve the aforementioned problems, and has as its object to generate quantization data with low redundancy by quantizing image data without deteriorating image  
25 quality.

Also, there is disclosed an image processing method comprising the steps of: quantizing multi-valued image data into N-valued data (where N is a natural number), and outputting the N-valued data as a K-bit code (where K is a natural number) that can express the N values; combining and converting K-bit codes for M pixels (where M is a natural number) into an L-bit code (where  $L < M \times K$ ); and packing and outputting data output from the conversion step into data of a predetermined bit unit.

Other features and advantages of the present invention will be apparent from the following description taken in conjunction with the accompanying drawings, in which like reference characters designate



the same or similar parts throughout the figures thereof.

#### BRIEF DESCRIPTION OF THE DRAWINGS

5           Fig. 1 is a view showing an example of density patterns;

          Fig. 2 is a block diagram showing the arrangement of an image processing system according to the first embodiment of the present invention;

10           Fig. 3 is a block diagram showing the arrangement of an image processor shown in Fig. 1;

          Fig. 4 is a block diagram for explaining the functional arrangement of a data compression unit;

15           Fig. 5 is a view for explaining the process of the data compression unit;

          Fig. 6 is a view showing an example of a conversion table of an LUT shown in Fig. 4;

          Fig. 7 is a block diagram showing another arrangement of a data compression unit shown in Fig. 3;

20           Fig. 8 is a block diagram showing the arrangement of a decoder shown in Fig. 2;

          Fig. 9 is a block diagram showing the arrangement of an image processor according to the second embodiment of the present invention;

25           Fig. 10 is a graph for explaining the relationship among the image data size, required memory

size, available memory size, and ON/OFF state of a  
compression process;

Fig. 11 is a block diagram showing the  
arrangement of an image processing system according to  
5 the third embodiment of the present invention;

Fig. 12 is a block diagram showing the  
arrangement of an image processor according to the  
fourth embodiment of the present invention; and

Fig. 13 is a view showing an example of density  
10 patterns in the fourth embodiment.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

An image processing apparatus according to an  
embodiment of the present invention will be described  
15 in detail hereinafter with reference to the  
accompanying drawings.

[First Embodiment]

(Arrangement)

Fig. 2 is a block diagram showing the arrangement  
20 of an image processing system according to this  
embodiment.

Application software 102, which runs on a host  
computer 101 and is used to create and edit an image,  
outputs image data of the created and/or edited image  
25 to an image processor 103. Image data output from the  
application software 102 is 8-bit multi-valued data per

color of R, G, and B or C, M, Y, and K if an image is a continuous tone image.

The image processor like a printer driver which runs on the host computer 101 executes a quantization  
5 process, compression process, and the like of the input image data, thus generating image data to be transferred to a printer 104 such as an ink-jet printer.

The image data input to the printer 104 is stored in a RAM 105. Since the image data stored in the RAM  
10 105 has been compressed by the image processor 103, it is expanded to image data to be printed by a decoder 106. The expanded image data is sent to an engine 107, thus forming and outputting an image based on the image data.

15 (Image Processor)

Fig. 3 is a block diagram showing the arrangement of the image processor 103.

A quantizer 201 converts input multi-valued (e.g., 8 bits, 256 tones per color) image data into N-valued  
20 image data per C, M, or Y, or C, M, Y, or K. In this embodiment, a case will be explained wherein  $N = 5$ , i.e., 5-valued quantization is done. Also, since pseudo halftoning is done to correct quantization errors produced upon quantization, the image finally  
25 output has continuous tone. As pseudo halftoning, known error diffusion, dithering, or the like is used.

10

15

Fig. 4 is a block diagram for explaining the functional arrangement of the data compression unit 202.

20

five values can be expressed by, e.g., "0000", "0001", "0010", "0011", and "0100", upper 1 bit is not necessary. For this reason, the bits to be output from the switch 301 can be three bits.

5           The  $(3n)$ -th and  $(3n+1)$ -th pixel data of those distributed to three pixel cycles are input to a look-up table (LUT) 302 and are converted into 5-bit data in accordance with a table example shown in Fig. 6. As a result, the number of bits of data is reduced by  
10 one, but no information is omitted. This is because since 3-bit data for one pixel has only information for five values, there are only  $5 \times 5 = 25$  different pieces of information even when data for two pixels are combined. Furthermore, this 5-bit data and 3-bit data  
15 as the  $(3n+2)$ -th pixel data are combined, and the combined data is output from the data compression unit 202 as 8-bit information.

The arrangement of the data compression unit 202 is not limited to that shown in Fig. 4, but the arrangement shown in Fig. 7 may be used. That is, all 4-bit data for three pixels may be input to an LUT 601 and converted into 8-bit data. When a process is done by software such as a printer driver, the arrangement shown in Fig. 6 can make the processing load lighter.

(Decoder)

The compressed image data is transferred to the printer 104 and is stored in the RAM 105. The decoder 106 decodes (expands) image data stored in the RAM 105 in synchronism with the image formation timing of the engine 107.

Fig. 8 is a block diagram showing the arrangement of the decoder 106.

The decoder 106 basically executes a process opposite to that of the data compression unit 202 shown in Fig. 4. That is, 5-bit data extracted from the input 8-bit data is input to an LUT 701 that makes inverse conversion to that of the LUT 302 of the data compression unit 202 to be converted into 3-bit pixel data for two pixels. The pixel data for two pixels output from the LUT 701, and the remaining 3-bit data of the 8-bit data are input together to a switch 702 to restore pixel data for three successive pixels. Finally, the pixel data output from the switch 702 is supplied to a pattern table 703 to generate five different dot patterns shown in Fig. 1.

As described above, according to the first embodiment, 4-bit information per pixel is compressed to 8-bit data per three pixels, and the compressed data is sent to the printer 104 and stored in the RAM 105. Hence, image data to be transferred and stored in the RAM 105 is  $8/3 = 2.67$  bits per pixel, and efficient

data transfer and storage can be realized. According to the compression method of this embodiment, since image data undergoes lossless compression, it is free from any omission of information resulting from lossy  
5 compression such as JPEG or the like, and is also free from any deterioration of image due to compression.

[Second Embodiment]

An image processing apparatus according to the second embodiment of the present invention will be  
10 described below. Note that the same reference numerals in this embodiment denote the same parts as in the first embodiment, and a detailed description thereof will be omitted.

In the second embodiment, the compression process  
15 of the data compression unit 102 described in the first embodiment is ON/OFF-controlled depending on image data. Fig. 9 is a block diagram showing the arrangement of the image processor 103 of the second embodiment. In Fig. 9, a data compression controller  
20 204 is added to the arrangement of the first embodiment shown in Fig. 3. The data compression controller 204 computes the memory size that the printer 104 requires for processing on the basis of, e.g., the size of image data input to the image processor 103. When the memory  
25 size that the printer 104 can use is smaller than the required memory size, the data compression controller

204 controls image data to pass through the data  
compression unit 202 without any compression process.

Fig. 10 is a graph for explaining the  
relationship among the image data size, required memory  
size, available memory size, and ON/OFF state of the  
compression process. Note that the border line of  
ON/OFF of the compression process may be fixed in  
accordance with the memory size that the printer 104  
can use or may dynamically change on the basis of  
information obtained from the printer 104.

The reason why such process is required will be  
briefly explained. In a serial printer such as an  
ink-jet printer, the print speed changes largely  
depending on the image data size, and the processing  
speed required for the host computer 101 also changes.  
Hence, when the compression process is kept ON  
irrespective of the image data size, the load on the  
compression process is large when the image data size  
is small, and data transfer from the host computer 101  
cannot often catch up with the print speed of the  
printer 104. If the image data size is originally  
small, since such data need not be compressed in  
consideration of the memory size of the RAM 105 of the  
printer 104, the compression process of the data  
compression unit 202 is preferably turned off so as not



to increase the processing load on the image processor 103.

As described above, according to the second embodiment, since the compression process of the image processor 103 is ON/OFF-controlled depending on the image data size, efficient data transfer and storage can be realized in case of a relatively large data size, and an increase in processing load due to the compression process can be suppressed in case of a relatively small data size.

[Third Embodiment]

An image processing apparatus according to the third embodiment of the present invention will be explained below. Note that the same reference numerals in this embodiment denote the same parts as in the first embodiment, and a detailed description thereof will be omitted.

The data compression process in the first embodiment is done on the host computer 101 side. By contrast, the data compression process in the third embodiment is done on the printer 104 side. Fig. 11 is a block diagram showing the arrangement of an image processing system according to the third embodiment.

In the third embodiment, since the host computer 101 does not perform any compression, the quantized image data is directly transferred from the image

According to the third embodiment, since both the  
compression and expansion processes of image data are  
done on the printer 104 side, the compression process  
required for the image processor 103, and the memory  
size require for data storage at that time can be  
reduced. Hence, the processing load can be prevented  
from increasing due to the compression process in the  
host computer 101, and hence, low print throughput can  
be avoided.

[Fourth Embodiment]

An image processing apparatus according to the  
fourth embodiment of the present invention will be  
explained below. Note that the same reference numerals  
in this embodiment denote the same parts as in the  
first embodiment, and a detailed description thereof  
will be omitted.

25           In the fourth embodiment, in particular, to  
reduce the data size when a color image is output,

coarse quantization is done for a color in which quantization errors hardly stand out, and data compression is done for a color in which quantization errors readily stand out.

5           When a color image is formed by an image output apparatus represented by an ink-jet printer, a color image is formed by mixing four different color inks such as cyan, magenta, yellow, and black. For this reason, the use ratios of inks are determined in  
10       correspondence with input image data in a color conversion process in the image process, and image data is quantized in units of colors.

          Fig. 12 is a block diagram showing the arrangement of an image processor of the fourth  
15       embodiment. For example, RGB 24-bit color image data output from the application software 102 is input to a color processor 205, and is color-separated into multi-valued (e.g., 8 bits) data of four colors, i.e., cyan, magenta, yellow, and black (to be abbreviated as  
20       C, M, Y, and K hereinafter). Each color data is input to a corresponding quantizer 201C, 201M, 201K, or 201Y, and is independently quantized.

          Of four, C, M, Y, and K colors, Y dots are very hard to see for the human eye. Hence, even when coarse  
25       quantization is done for Y image data, quantization errors of a Y component image formed hardly stand out.

According to the fourth embodiment, exploiting the nature that respective color components have different influences on image quality, data compression is selectively done for some color components. Hence, the processing load of the overall image process can be reduced, and the influence on image quality can be minimized.

Note that the fourth embodiment can be combined with not only the arrangement of the first embodiment, but also that of the second embodiment.

The present invention can be applied to a system constituted by a plurality of devices (e.g., host computer, interface, reader, printer) or to an

apparatus comprising a single device (e.g., copy machine, facsimile).

Further, the object of the present invention can be also achieved by providing a storage medium storing  
5 program codes for performing the aforesaid processes to a system or an apparatus, reading the program codes with a computer (e.g., CPU, MPU) of the system or apparatus from the storage medium, then executing the program.

10 In this case, the program codes read from the storage medium realize the functions according to the embodiments, and the storage medium storing the program codes constitutes the invention.

Further, the storage medium, such as a floppy  
15 disk, a hard disk, an optical disk, a magneto-optical disk, CD-ROM, CD-R, a magnetic tape, a non-volatile type memory card, and ROM can be used for providing the program codes.

Furthermore, besides aforesaid functions  
20 according to the above embodiments are realized by executing the program codes which are read by a computer, the present invention includes a case where an OS (operating system) or the like working on the computer performs a part or entire processes in  
25 accordance with designations of the program codes and realizes functions according to the above embodiments.

Furthermore, the present invention also includes a case where, after the program codes read from the storage medium are written in a function expansion card which is inserted into the computer or in a memory  
5 provided in a function expansion unit which is connected to the computer, CPU or the like contained in the function expansion card or unit performs a part or entire process in accordance with designations of the program codes and realizes functions of the above  
10 embodiments.

As many apparently widely different embodiments of the present invention can be made without departing from the spirit and scope thereof, it is to be understood that the invention is not limited to the  
15 specific embodiments thereof except as defined in the appended claims.

WHAT IS CLAIMED IS:

1. An image processing apparatus comprising:  
quantization means for quantizing multi-valued  
image data into N-valued data (where N is a natural  
5 number), and outputting the N-valued data as a K-bit  
code (where K is a natural number) that can express the  
N values;  
conversion means for combining and converting  
K-bit codes for M pixels (where M is a natural number)  
10 into an L-bit code (where  $L < M \times K$ ); and  
output means for packing and outputting data  
output from said conversion means into data of a  
predetermined bit unit.
2. The apparatus according to claim 1, wherein the  
15 predetermined bit unit is a natural number multiple of  
the L bits, and data of the predetermined data unit is  
transferred to an image forming apparatus.
3. The apparatus according to claim 2, further  
comprising control means for computing a memory size  
20 that the image forming apparatus requires for a process,  
and controlling said conversion means in accordance  
with the computation result.
4. The apparatus according to claim 3, wherein said  
control means controls said conversion means in a  
25 through pass state when the computed memory size

required for the process is smaller than a memory size that the image forming apparatus can use.

5. The apparatus according to claim 1, wherein said quantization means and said conversion means execute processes according to color components of the image data.

6. The apparatus according to claim 5, wherein said quantization means quantizes image data of a color component in which a quantization error readily stands out to the N-valued data, and quantizes image data of a color component in which a quantization error hardly stands out to N'-valued data (where  $N' < N$ ).

7. The apparatus according to claim 6, wherein said conversion means does not convert the image data of the color component in which the quantization error hardly stands out.

8. An image processing method comprising the steps  
of:

quantizing multi-valued image data into N-valued  
20 data (where N is a natural number), and outputting the  
N-valued data as a K-bit code (where K is a natural  
number) that can express the N-values;

combining and converting K-bit codes for M pixels  
(where M is a natural number) into an L-bit code (where  
25 L < M × K); and



packing and outputting data output from the  
conversion step into data of a predetermined bit unit.

9. The method according to claim 8, wherein the  
predetermined bit unit is a natural number multiple of  
5 the L bits, and data of the predetermined data unit is  
transferred to an image forming apparatus.

10. The method according to claim 8, further  
comprising the step of computing a memory size that the  
image forming apparatus requires for a process, and  
10 controlling the conversion step in accordance with the  
computation result.

11. The method according to claim 10, wherein the  
control step includes the step of controlling the  
conversion step in a through pass state when the  
15 computed memory size required for the process is  
smaller than a memory size that the image forming  
apparatus can use.

12. The method according to claim 8, wherein the  
quantization step and the conversion step execute  
20 processes according to color components of the image  
data.

13. The method according to claim 12, wherein the  
quantization step includes the step of quantizing image  
data of a color component in which a quantization error  
25 readily stands out to the N-valued data, and quantizing  
image data of a color component in which a quantization

error hardly stands out to N'-valued data (where  $N' < N$ ).

14. The method according to claim 13, wherein the conversion step includes the step of skipping  
5 conversion of the image data of the color component in which the quantization error hardly stands out.

15. A computer program product comprising a computer readable medium having a computer program code, for an image processing method, comprising process procedure  
10 code for:

quantizing multi-valued image data into N-valued data (where N is a natural number), and outputting the N-valued data as a K-bit code (where K is a natural  
number) that can express the N values;

15 combining and converting K-bit codes for M pixels (where M is a natural number) into an L-bit code (where  $L < M \times K$ ); and

packing and outputting data output from the conversion step into data of a predetermined bit unit.

# ABSTRACT OF THE DISCLOSURE

When highly redundant information that expresses five tones using 4 bits is transferred to a printer in terms of a unit data length in data transfer,

5 efficiency is very poor. Hence, multi-valued data is quantized to 5-valued data, which is output as a 4-bit code that can express five values. 4-bit codes for three bits are combined to be converted into an 8-bit code. The 8-bit codes are packed into data of a 16-bit

10 unit, and the packed data is transferred to the printer.

FIG. 1

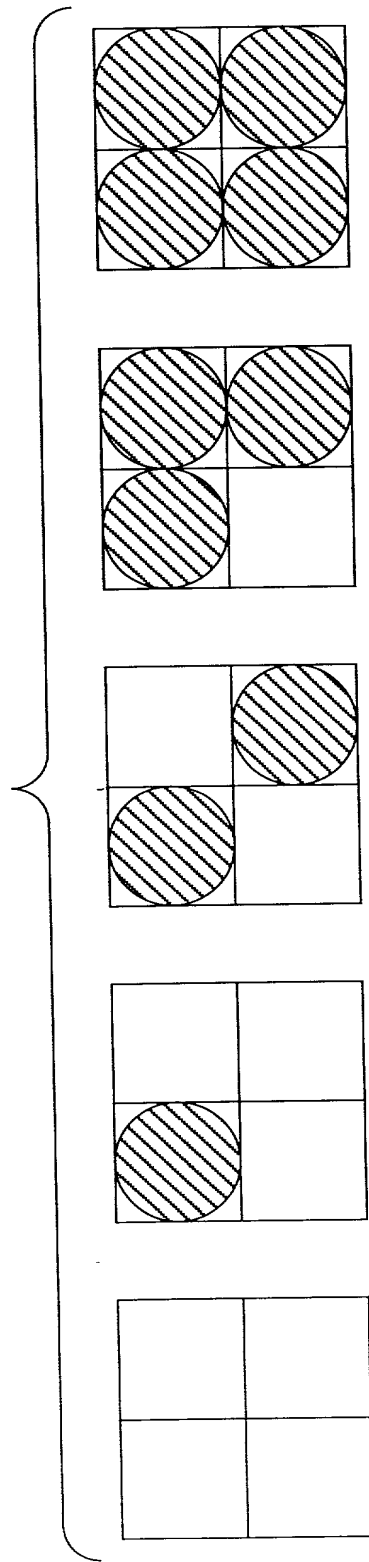


FIG. 2

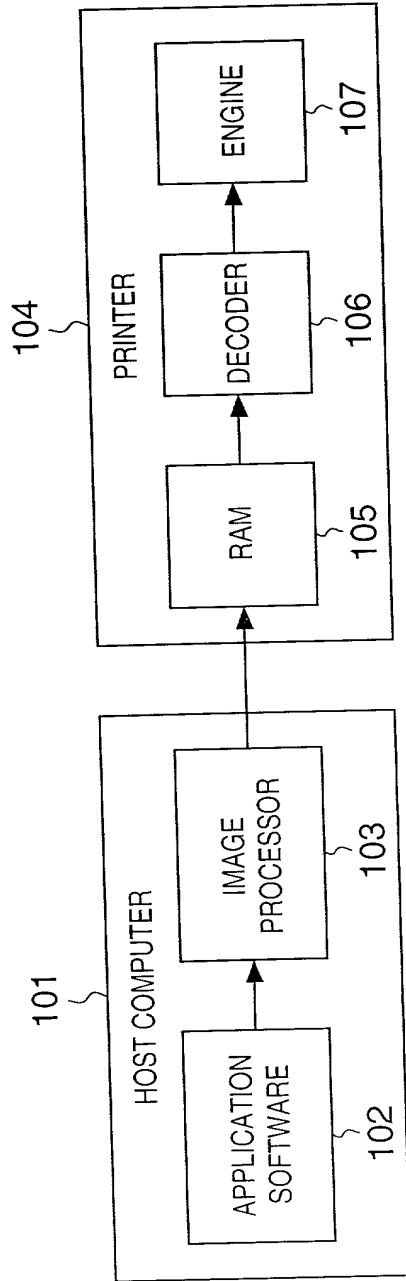


FIG. 3

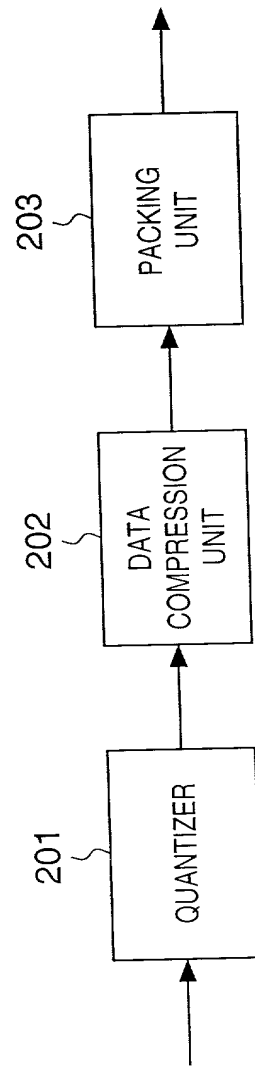


FIG. 4

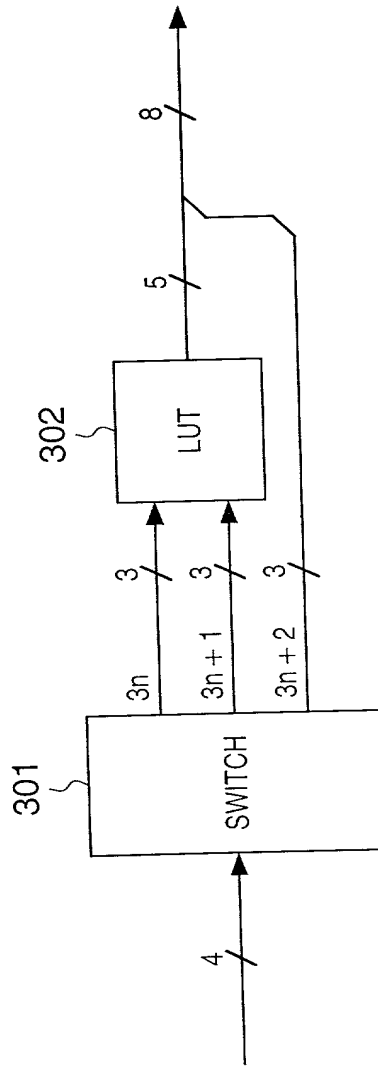


FIG. 5

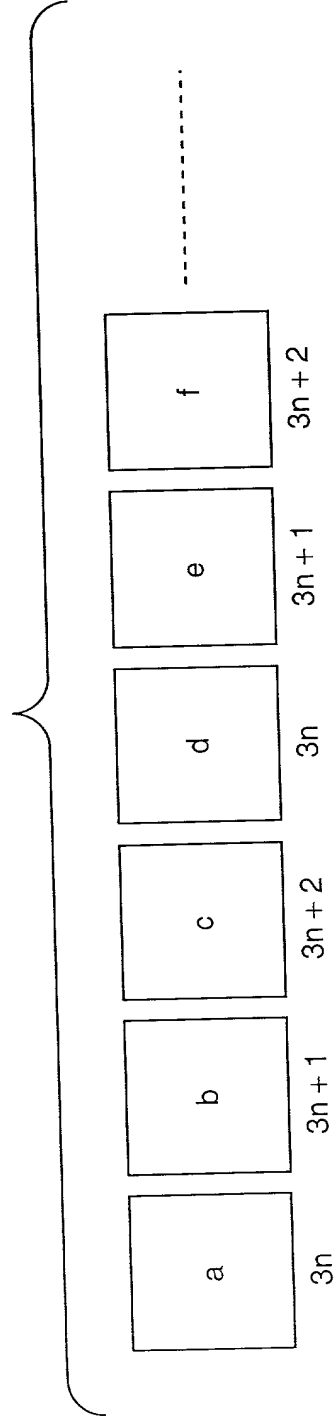




FIG. 6

<div>3n+1 \ 3n</div>	000	001	010	011	100
000	00000	00101	01010	01111	10100
001	00001	00110	01011	10000	10101
010	00010	00111	01100	10001	10110
011	00011	01000	01101	10010	10111
100	00100	01001	01110	10011	11000

005280" 8T54960

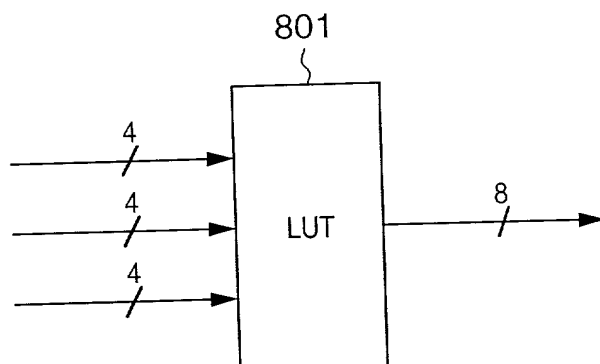
**FIG. 7**

FIG. 8

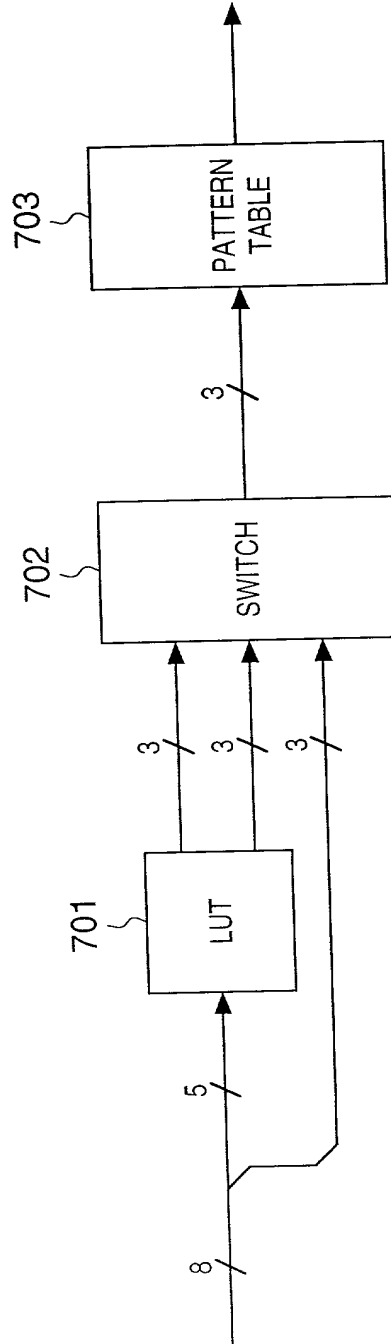
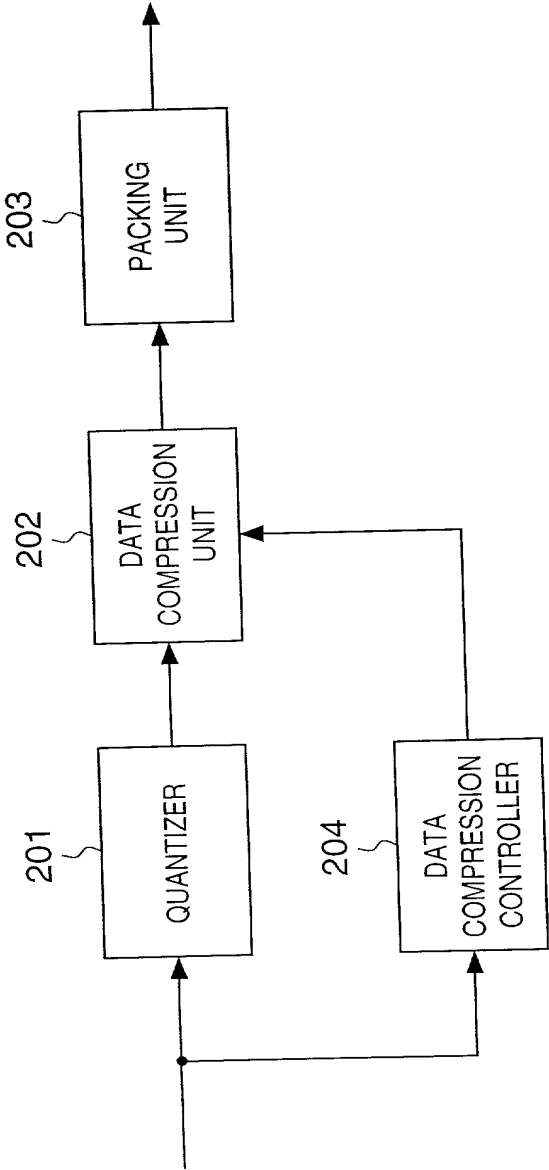


FIG. 9



**FIG. 10**

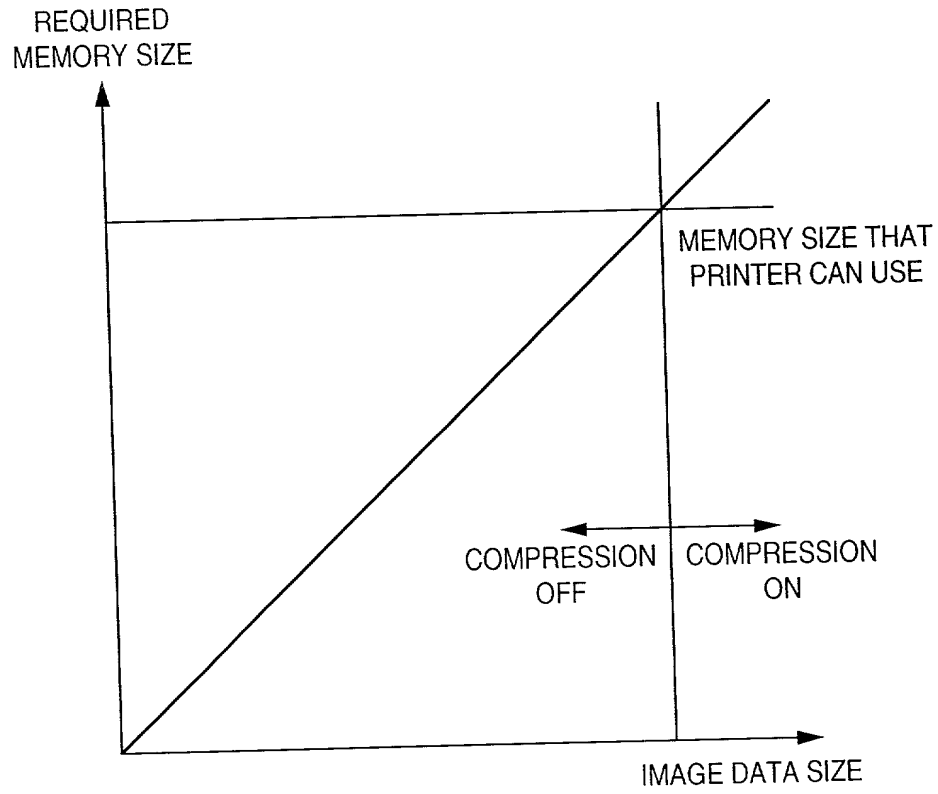


FIG. 11

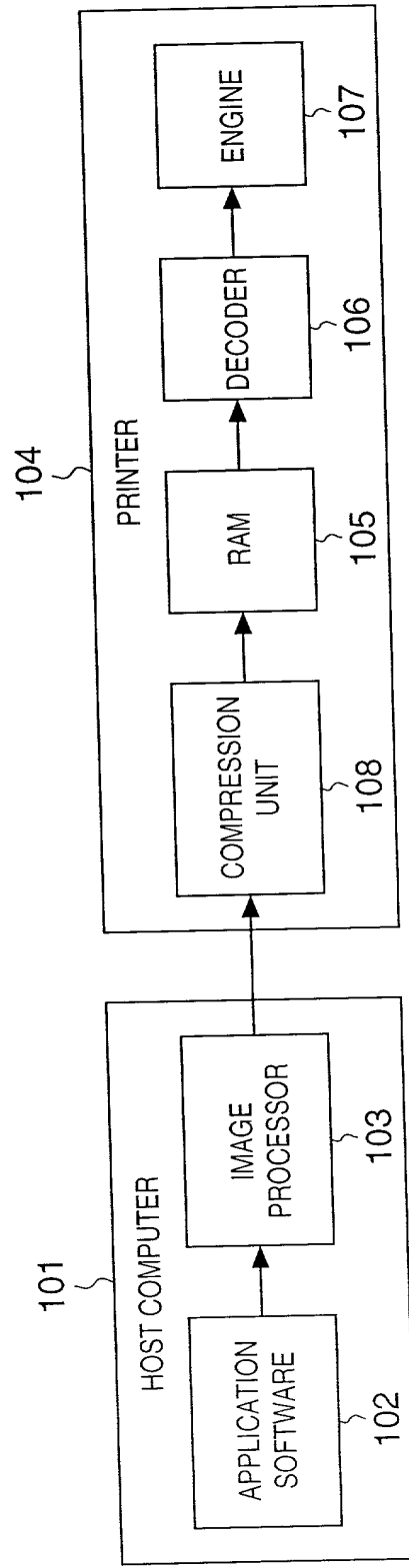


FIG. 12

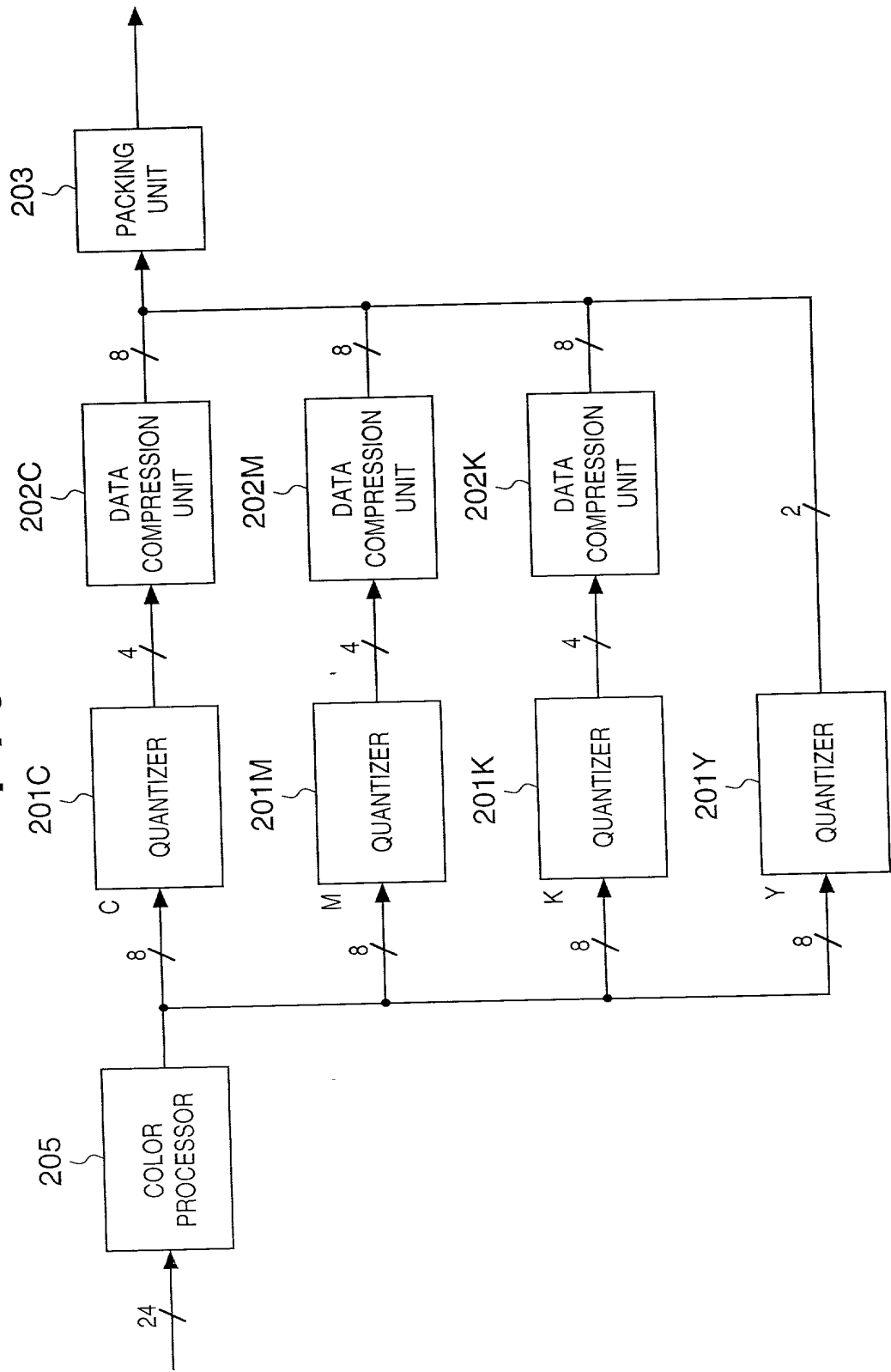
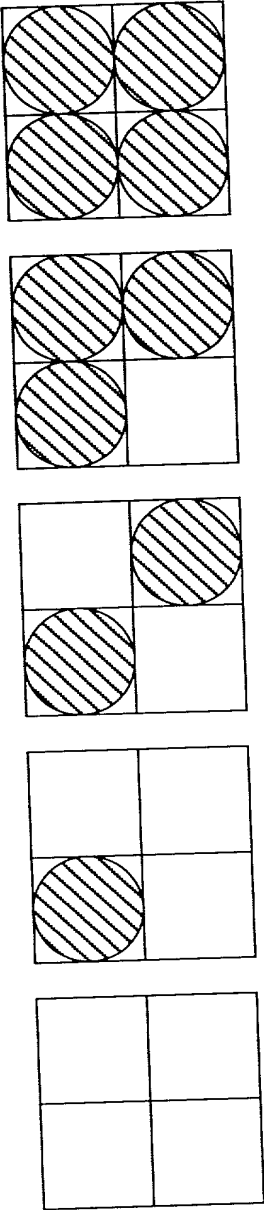


FIG. 13

DOT PATTERN  
FOR C, M AND K  
( FIVE TONES )



DOT PATTERN  
FOR Y  
( FOUR TONES )

